



Banc Ceannais na hÉireann
Central Bank of Ireland

Eurosystem

Registration Application Form – Benchmark Administrator

Application for registration under
Regulation (EU) 2016/1011 of the
European Parliament and of the Council

NOTES ON COMPLETION

1. This application for registration should be completed by an 'Applicant' which means a natural or legal person that intends to act as an administrator if you provide or intend to provide indices which are used or intended to be used as benchmarks in accordance with Regulation (EU) 2016/1011 of the European Parliament and of the Council with regard to regulatory technical standards for the information to be provided in an application for authorisation (the 'Benchmark Regulation').
2. Please read the Benchmark Regulation and Commission Delegated Regulation (EU) 2018/1646 (the Commission Delegated Regulation') prior to completing the application form and ensure that you complete the registration checklist in conjunction with this Application form. The checklist is available on the Central Bank of Ireland's ("the Central Bank") website <https://www.centralbank.ie/regulation/industry-market-sectors/securities-markets/benchmarks-regulation>.
3. All applications must be **typed**.
4. All questions must be addressed before the application can be considered. If a question does not apply, please write "N/A" or "None" as appropriate. **Do not leave any answer boxes blank. Incomplete applications will be returned.**
5. Where Applicants are required to "confirm", a tick (✓) placed in the relevant box will be taken as confirmation.
6. Where it is highlighted that information "must" be provided or an action "must" be taken, a tick (✓) placed in the relevant box will be taken as confirmation that the information has been provided or that action has been taken.
7. If insufficient space has been provided for a reply, please provide that information on a separate sheet/document and refer to it in the space provided for the answer. Please ensure that any separate sheets/documents are clearly marked with the name of the Applicant organisation and reference the appropriate question.
8. Where the Applicant is requested to provide a reference to a separate sheet/document, please ensure the document name, page number and paragraph that provides for the requested information is completed in the Application Form.
9. Further information or clarification may be requested (having regard to the replies furnished) for the purpose of considering and evaluating an application.
10. The Applicant must submit its application pack to the Central Bank via investmentfirmauthorisations@centralbank.ie.

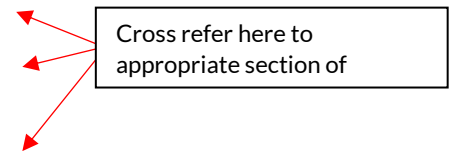
11. Please follow the instructions below when completing this Application Form and ensure you have:

- Completed the application form for authorisation
- Completed any Form B
- Complete the checklist form
- Attached all supporting documents

Form B

Form B is on page 11. The form requests certain information for each member of the management body. The information required is captured through the Individual Questionnaires (“IQ Forms”) which are submitted for each Pre-approved Control Function (“PCF”) holder through the Central Bank’s Fitness and Probity system. IQ forms are still required to be submitted as part of a complete application and therefore Applicants should cross refer from Form B to the appropriate section of the relevant IQ Form.

Member 1
Name
Contact details (Telephone, email, address)
Position
Professional experience and other relevant experience
Educational qualification and relevant training
List of executive and non-executive directorships in other entities
Effective date
<i>[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information.]</i>



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1. General Information

Use this section to provide the information required under Section 1 (General Information) of the Annex to the Commission Delegated Regulation and related information required for domestic purposes.

Please refer to the relevant Annex of the Commission Delegated Regulation when completing this section and ensure you have provided all the information specified.

Please note that:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That Annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer interest rate benchmarks.
- Commodity Benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an Interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect where you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

Where the Applicant is a natural person the answers provided should be in compliance with Annex I of the Commission Delegated Regulation, with the exception of point (c),(f),(h) and (i) of paragraph of 1.

APPLICATION FORM FOR THE REGISTRATION OF A BENCHMARK ADMINISTRATOR

Reference Number¹:

Date:

FROM:

1.1 Name of the Applicant:

1.2 Legal Entity Identifier (LEI) if applicable:

1.3 Contact details of the designated contact person

Name:

Telephone:

Email:

1.4 Does the Applicant have a website address?

No

Yes, live ▶ Give address below

Yes, being developed ▶ Give address (if known) and launch date below

1.5 Legal Status of the Applicant (e.g. limited company incorporated in Ireland, partnership, sole trader, etc.) if applicable:

1.6 Date of incorporation (dd/mm/yyyy) if applicable

 / /

1.7 Where was the Applicant incorporated, if applicable?

¹ For internal use only

Authorisation Status

1.8 Is the Applicant a Central Bank of Ireland supervised entity

Yes ▶ Continue to Question 1.15

No

1.9 Is the Applicant a “supervised entity” in a jurisdiction other than Ireland?

Yes ▶ Give details below

No ▶ Continue to Question 1.10

Current authorisation / registered status

Name of Regulator

Address of Regulator

Applicant’s identification number with that regulator

The activities for which the Applicant is authorised

Operations

1.10 Please provide a description of the operations of the Applicant in the European Union, whether or not subject to financial regulation, that are relevant for the activity of provision of benchmarks. Please also provide a description of where the above noted operations are conducted.

Legal Documents

Applicant Ref
No.²

CBI
Only

1.11 Please attach any of the relevant documentation if applicable:

- Certificate of Incorporation
- Copy of Partnership agreement deeds (if applicable)
- Copy of Limited Liability Partnership agreement deeds (if applicable)
- Copy of Deed of Incorporation, Articles of Association or other constitutional documents

Group Structure

1.12 Is the Applicant a member of a group?

No
Yes

If Yes ▶ You must provide an up-to-date group structure chart along with the ownership chart showing the links between any parent undertaking and subsidiaries. The undertakings and subsidiaries shown in the chart shall be identified by their full name, legal status and address of the registered office and head office.

Attached

1.13 Please confirm that the Applicant is of good repute.

Yes

1.14 Please provide the following details in relation to the Applicant:

(i) Any proceedings of a disciplinary nature against the Applicant (unless dismissed)?

Yes
No

If yes ▶ Give a full explanation of the events below.

² E.g. The Applicant's relevant document references

(ii) Any refusal of authorisation or registration by a financial authority?

Yes

No

If yes ▶ Give a full explanation of the events below.

(iii) Any withdrawal authorisation or registration by a financial authority?

Yes

No

If yes ▶ Give a full explanation of the events below.

Details of professional/legal advisers

1.15 Name and address of the Applicant's solicitors or other professional advisers, including the contact details of the Applicant's contact point at the firm.

Name:	
Address:	
Contact Name:	
Email Address:	
Telephone Number:	

2. Organisational Structure and Governance

Use this section and the Form B to provide the information required under Section 2 (Organisational structure and governance) of the Annex to the Commission Delegated Regulation and related information required for domestic purposes.

Please refer to the Annex of the Commission Delegated Regulation when completing this section and ensure you have provided all the information specified.

Please note that:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That Annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer interest rate benchmarks.
- Commodity Benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect whether you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

Internal Organisational Structure

Applicant Ref
No.²

CBI
Only

2.1 Please attach a comprehensive organisational chart showing the internal organisational structure with respect to the board of directors, senior management committees, oversight function and any other internal body exercising significant management functions involved in the provision of the benchmarks.

Attached

2.2 You must attach the terms of reference, or provide a summary below of the terms of reference applicable to the bodies listed above.

Attached

Applicant Ref
No.²

CBI
Only

2.3 Please give details of how the Applicants board of directors, senior management committees, oversight function and any other internal body exercising significant management functions intend to adhere to any governance codes or similar provisions e.g. industry codes.
If not applicable ▶ Give details why below.

2.4 Please attach the procedures for ensuring that the employees of the Applicant and any other natural persons whose services are placed at its disposal or under its control and who are directly involved in the provision of a benchmark have the necessary skills, knowledge and experience for the duties assigned to them and operate in respect of the provisions under Article 4(7) of the Benchmark Regulation.

Attached
Not Attached

If not attached please provide details below.

2.5 If you administer Commodity Benchmarks subject to Annex II of the Benchmark Regulation, please attach information to show how the Applicant will comply with Annex II paragraph 9(a) of the Benchmark Regulation.
Attached

2.6 How many employees (temporary and permanent) are involved in the provision of a benchmark(s) that the Applicant administers or will administer and the percentage of their time involved in the provision of a benchmark?

Applicant Ref
No.²

CBI
Only

LIST OF MEMBERS OF THE MANAGEMENT BODY

2.7 Please complete FORM B as set out below

Reference Number:

Date:

FROM:

Name of the Applicant:

Address:

Contact details of the designated contact person

Name:

Telephone:

Email:

TO:

Competent Authority:

Address:

Contact details of the designated contact point if relevant

Name:

Telephone:

Email:

Person in charge of preparing the application:

Name:

Status/Position:

Telephone:

Fax (if available):

Email:

Date:

Signature:

List of members of the management body (if applicable)

Member 1

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information].

Member 2

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information].

Member 3

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information].

Member 4

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information.]

Member 5

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information].

Member 6

Name

Contact details (Telephone, email, address)

Position

Professional experience and other relevant experience

Educational qualification and relevant training

List of executive and non-executive directorships in other entities

Effective date

[Please set out that information here or provide an explanation of how it will be provided, or make reference to the relevant annexes containing the information].

Please provide as relevant:

- Minutes of the general meeting confirming the nomination of the new member of the management body
- Minutes of the general meeting of the management body confirming the nomination of the new members

3. Conflicts of Interest

Use this section to provide the information required under Section 3 (Conflict of Interest) of the Annex to the Commission Delegated Regulation and related information required for domestic purposes.

Please refer to the Annex of the Commission Delegated Regulation when completing this section and ensure you have provided all the information specified.

Please note that:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That Annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer interest rate benchmarks.
- Commodity benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect where you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

Applicant Ref No.² CBI Only

3.1 Please attach the policies and procedures that address:

3.1.1 How the current and potential conflicts of interest are or will be identified, recorded, managed, mitigated, prevented, disclosed and remedied.

Attached

Comments box for applicants who will administer an Annex I benchmark or an Annex II benchmark.

Applicant Ref No.² CBI Only

3.1.2 The controls put in place in respect of current or potential conflicts of interest, to include measures to identify and to prevent or manage conflicts of interest including the controls implemented through information systems.

Attached

Comments box for applicants who will administer an Annex I benchmark or an Annex II benchmark.

3.1.3 Particular circumstances which apply to the Applicant or to any particular benchmark provided by the Applicant, in relation to which conflicts of interest are most likely to arise, including where expert judgment or discretion is exercised in the benchmark’s determination process, where the Applicant is within the same group as a user of a benchmark and where the Applicant is a participant in the market or economic reality that the benchmark intends to measure.

Attached

Comments box for applicants who will administer an Annex I benchmark or an Annex II benchmark.

3.2 Unless the Applicant is only providing non-significant benchmarks please attach an up to date list of any material conflicts of interests identified, along with the respective mitigation measures for the benchmark or a family of benchmarks?

Attached

Comments box for applicants who will administer an Annex I benchmark or an Annex II benchmark.

Applicant Ref No. ²	CBI Only

3.3 Please provide details of the structure of the remuneration policy, specifying the criteria used to determine the remuneration of the persons involved directly or indirectly in the activity of provision of benchmarks.

4. Internal control structure, oversight and accountability framework

Use this section to provide the information required under Section 4 (Internal control structure, oversight and accountability framework) of the Annex to the Commission Delegated Regulation and related information that is required for domestic purposes.

Please refer to the Annex of the Commission Delegated Regulation when completing this section and ensure you have provided all the information specified.

Please note that:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That Annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer interest rate benchmarks.
- Commodity benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect where you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

4.1 Please attach a copy of the policies and procedures for monitoring the activities of the provision of a benchmark or a family of benchmarks, including those relating to:

(For questions 4.1.1- 4.1.5, Applicants who are administering a non-significant benchmark only, a summary may be provided in the boxes below instead of the full policies and procedures).

Applicant Ref No. ²	CBI Only

4.1.1 The information technology systems.

Attached

Comments box if you need to give additional information.

4.1.2 Risk management, together with a mapping of risks which may arise and which may impact the accuracy, integrity and representativeness of the benchmarks provided or the continuity of the activity of provision, along with the respective mitigation measures.

Attached

Comments box if you need to give additional information.

4.1.3 The constitution, role and functioning of the oversight function, as described in Article 5 of the Regulation and further specified in the Commission Delegated Regulation on procedures and characteristics of the oversight function², including procedures for the appointment substitution or removal of individuals within the oversight function.

Attached

Not Applicable, Annex II Benchmark Administrator only

Comments box if you need to give additional information.

CBI Only

Applicant
Ref No.²

CBI
Only

4.1.4 The constitution, role and functioning of the control framework, as described in Article 6 of the Benchmark Regulation, including procedures for the appointment, substitution or removal of individuals responsible for this framework.

Attached

Comments box if you need to give additional information.

4.1.5 The accountability framework as described in Article 7 of the Benchmark Regulation, including procedures for the appointment, substitution or removal of individuals who are responsible for this framework.

Attached

Comments box if you need to give additional information.

4.2 Please attach a copy of the policies and procedures in relation to the determination and publication of a benchmark on a temporary basis. This should include business continuity and disaster recovery plans.

Attached

Comments box if you need to give additional information.

Applicant
Ref No.²

CBI
Only

4.3 Please attach a copy of the policies and procedures for the internal reporting of infringements of the Benchmark Regulation by managers, employees and any other natural persons who services are placed at the Applicant's disposal or under the control of the Applicant.

Attached

Comments box if you need to give additional information.

5. Description of benchmarks provided

Use this section to provide the information required under Section 5 (Description of benchmarks provided) of the Annex to the Commission Delegated Regulation and related information required for domestic purposes.

Please refer to the Annex of the Commission Delegated Regulation when completing this section and satisfy yourself that you have provided all the information specified.

Please note that:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer Interest rate benchmarks,
- Commodity benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an Interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect where you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

The answers given below to Questions 5.2-5.5 can be in summary form for non-significant benchmarks. If the applicant is providing significant benchmarks, full answers must be given in relation to those significant benchmarks.

Applicant Ref
No.²

CBI
Only

5.1 Please provide a description of each benchmark or family of benchmarks provided or that the Applicant is intending to provide, including:

i) An indication of the type of benchmark, (the indication should be provided to the best of the Applicant’s knowledge, taking into the account the provisions of the Benchmark Regulation.

ii) An indication of the sources used to determine the type of the benchmark.

5.2 Please provide a description of the underlying market or economic reality that the benchmark or family of benchmarks is intended to measure, along with an indication of the sources used to provide this description.

5.3 Please provide a description of contributors (where applicable) to the benchmark or family of benchmarks along with the code of conduct as described in Article 15 of the Benchmark Regulation and for critical benchmarks, the identity of contributors (i.e. name and location) should also be included.

Code of Conduct attached

Not applicable ▶ the benchmark is not based on contributions, is a regulated-data benchmark or an Annex II benchmark

Applicant Ref
No.²

CBI
Only

5.4 Please provide information on measures to deal with corrections to the determination or publication of a benchmark or family of benchmarks.

5.5 Please provide information on the procedure to be undertaken by the administrator in the event of changes to or the cessation of a benchmark or a family of benchmarks in compliance with Article 28(1) of the Regulation.

Schedule of Benchmarks

5.6 Please attach to your application a schedule of benchmarks, in excel format which will provide specify the following; Benchmark category, ISIN number, whether or not exemptions ought to apply and the reason for an exemption.

Completed & Attached

Exemptions

5.7 Is the Applicant applying any exemptions at this stage?

- No, continue to section 6
- Not Applicable (Annex II benchmark administrators and Annex I interest rate benchmark administrators should chose this option)
- Yes, you must detail the exemptions the Applicant is applying in the Schedule Benchmarks requested in Question 5.6
- Completed & Attached

Applicant Ref
No.²

CBI
Only

Please complete and attach to your application the Compliance Statements set out in the Annexes of the Commission Implementing Regulation (EU) 2018/1106 ('Commission Implementing Regulation') on the Compliance statement for administrators of significant and non-significant benchmarks.³

Annex I ▶ Completed and Attached

Annex II ▶ Completed and Attached

6. Input data and methodology

Use this section to provide the information required under Section 6 (Input data and methodology) of the Annex to the Commission Delegated Regulation and related information required for domestic purposes.

Please refer to the Annex of the Commission Delegated Regulation when completing this section and ensure you have provided all the information specified.

Please note that:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That Annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer Interest rate benchmarks.
- Commodity benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an Interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect where you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

An Applicant may decide to submit information in this section in the form of a summary for any non-significant benchmark it provides (please refer to Commission Delegated Regulation Article 2(1)).

³ Commission Implementing Regulation (EU) 2018/1106

Input Data

Applicant Ref
No.²

CBI
Only

6.1 For each benchmark or family of benchmarks, attach policies and procedures with respect to input data including those relating to:

6.1.1 The type of input data used, their priority of use and any exercise of discretion or expert judgement.

If the applicant firm is administering non-significant benchmarks you can provide a summary of the procedures. If the administration includes both significant and non-significant please provide the information in summary form for its non-significant benchmarks only.

Attached

Comments box if you need to give additional information.

6.1.2 The criteria that determine who may contribute input data to the administrator and the selection process of the contributors.

If the applicant is administering non-significant benchmarks only you can provide a summary of the procedures. If the applicant is administering significant and non-significant benchmarks it can provide the information in the form of a summary for its non-significant benchmarks only.

Attached

Not Applicable – the benchmark is a regulated-data benchmark (or is not based on contributions).

Comments box if you need to give additional information.

Applicant Ref
No.²

CBI
Only

6.1.3 Any processes for ensuring that input data is sufficient, appropriate and verifiable.

If the applicant is administering non-significant benchmarks only you can provide a summary of the procedures.

If the applicant is administering significant and non-significant benchmarks it can provide the information in the form of a summary for its non-significant benchmarks only. If the applicant has non-significant benchmarks, it may choose not to provide the summary for the input data being verifiable but it must provide the summary for the input data being sufficient and appropriate.

Attached

Not applicable ▶ The benchmark is a regulated-data benchmark

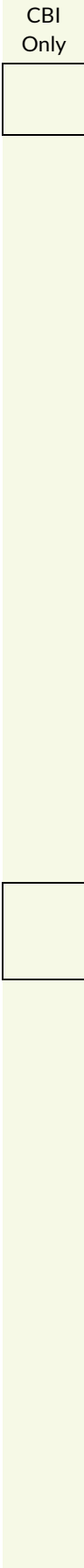
Comments box if you need to give additional information.

6.1.4 The evaluation of the contributor’s input data and the process of validating input data.

Attached

Not applicable ▶ The Applicant administers regulated-data benchmarks or non-significant benchmarks only

Comments box if you need to give additional information.



Methodology

Applicant Ref
No.²

CBI
Only

- 6.2** For each benchmark or family of benchmarks you must provide a description of the methodology highlighting the key elements of the methodology in accordance with Article 13 of the Benchmark Regulation and further specified in the Commission Delegated Regulation⁴ specifying the information to be provided on the key elements of the methodology, the details of the internal review and the approval of a methodology and the procedures for consultation of proposed material change in the benchmark administrator's methodology.

If the Applicant is administering non-significant benchmarks only you can provide a summary of the procedures. If the Applicant is administering significant and non-significant benchmarks it can provide the information in the form of a summary for its non-significant benchmarks only.

Comments box if you need to give additional information.

- 6.3** Please attach the policies and procedures with respect to the methodology including those relating to:

- 6.3.1** The measures taken to provide validation and review of the methodology, including any trials or back-testing performed.

Attached

If the Applicant is administering non-significant benchmarks only you can provide a summary of the procedures. If the Applicant is administering significant and non-significant benchmarks it can provide the information in the form of a summary for its non-significant benchmarks only.

Comments box if you need to give additional information

⁴ Commission Delegated Regulation (EU) 2018/1641

Applicant
Ref No.²

CBI
Only

6.3.2 The consultation process on any proposed material change in the methodology.

Attached

If the Applicant is administering non-significant benchmarks only you are not required to provide this information. If the Applicant is administering significant and non-significant benchmarks it can provide the information only for the significant benchmarks.

Comments box if you need to give additional information.

7. Outsourcing

Use this section to provide the information required under Section 7 (Outsourcing) of the Annex to the Commission Delegated Regulation and related information required for domestic purposes.

Please refer to the Annex of the Commission Delegated Regulation when completing this section and ensure you have provided all the information specified.

Please note:

- Interest Rate Benchmarks are subject to Annex I to the Benchmark Regulation. That Annex supplements and, in some cases, replaces the requirements of Title II to the Benchmark Regulation. Applicants should reference the appropriate section if they administer or intend to administer Interest rate benchmarks.
- Commodity benchmarks are subject to the requirements of Annex II to the Benchmark Regulation instead of Title II to that Regulation, save for Article 19 which sets out specific provisions that are applicable for Commodity Benchmarks.

If you administer an Interest rate benchmark as specified in Annex I or a Commodity Benchmark as specified in Annex II of the Benchmark Regulation, your responses to the questions in this form should reflect where you are complying with a requirement of Annex I or II in addition to, or in substitution for, a provision in Title II.

Applicant
Ref No.²

CBI
Only

7.1 Are any activities forming a part of the process of administering a benchmark or family of benchmarks outsourced?

No ▶ Continue to Section 8
Yes

7.2 You must provide details of the outsourcing arrangements unless administering non-significant benchmarks only, in which case this information is not required. If administering significant and non-significant benchmarks, information is only required in relation to the significant benchmarks only. This must include the service-level agreements, which demonstrate compliance with Article 10 of the Benchmark Regulation.

Not applicable ▶ The Applicant administers non-significant benchmarks only.

7.3 Please attach the policies and procedures regarding the oversight of the outsourced activities.

Attached

If the Applicant is administering non-significant benchmarks only you can provide a summary of the procedures. If the Applicant is administering significant and non-significant benchmarks it can provide the information in the form of a summary for its non-significant benchmarks only.

7.4 You must provide details of the outsourced functions, unless this information is already included in the relevant contracts.

Attached

If the Applicant is administering non-significant benchmarks only you can provide a summary of the procedures. If the Applicant is administering significant and non-significant benchmarks the information can be provided in summary format for non-significant benchmarks only.

8. Additional Information

Use this section to provide the additional information that we need for domestic purposes, including information regarding surveillance and the Applicants' financial resources. You can also use this section to provide any additional information you consider relevant to your application in accordance with Section 8 of the Annex II of the Commission Delegated Regulation.

Applicant
Ref No.²

CBI
Only

Capital

8.1 Does the Applicant intend to use private financial resources?

Yes

No

If yes, please provide details.

8.2 Set out the eligible capital composition of the Applicant from the date of authorisation in the below table:

Type of Capital	Category of Capital	Amount (€)
Total		

8.3 Please provide evidence of paid-up share capital and other types of capital raised.

8.4 Please provide a copy of all relevant agreements and contracts regarding the capital raised.

8.5 Does the Applicant use or expect to use borrowed funds?

Yes

No

If yes, please complete the following table:

Facility	Details

8.6 Please provide details of the sources of financial resources expected to be available should they be required by the Applicant subsequent to authorisation.

Applicant Ref
No.²

CBI
Only

8.7 Is the Applicant an Annex II benchmark administrator?

- No ▶ Continue to Q's 8.8-8.11
- Yes ▶ Continue to Q 8.12

Surveillance

8.8 Please describe the surveillance procedures and processes that the Applicant uses in order to ensure the integrity of the benchmark. Please provide any supporting documentation.

8.9 Please provide details of any analysis undertaken that demonstrates how potential market abuse risks that your benchmark may be susceptible to have been mitigated.

8.10 Please attach an organogram of the surveillance function.

Attached

8.11 Please provide details of the escalation procedures that you have in place for reporting suspected market abuse, both internally to senior management and externally to the regulator.

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8.12 Please confirm that the Applicant has established and will employ procedures to identify anomalous or suspicious transaction data and keep records of decisions to exclude transaction data from the administrator’s benchmark calculation process.

Yes

Any Additional Information

8.13 Please provide details of any other information the Applicant considers relevant to your application.

9. Fees and Levies

9.1 You must confirm that the Applicant understands that it is liable and remains liable to pay the industry funding levy until such time as the authorisation is revoked. This is irrespective of whether it is trading, or even if it has notified us of intention to cease trading or submitted an application to cancel.

Yes

DECLARATION

[Insert a (✓) as appropriate]

[Name of Applicant] (the "Applicant") applies for:

(i) Registration under Article 34 of the Benchmark Regulation

on the basis of information supplied with this application and any additional information supplied to the Central Bank in the course of the application.

The Applicant hereby confirms that it is responsible for this application for registration including compliance with the registration requirements set out in the Regulations.

The Applicant warrants that it has truthfully and fully answered the relevant questions in this form and disclosed any other information which might reasonably be considered relevant for the purpose of the application.

The Applicant warrants that it will promptly notify the Central Bank of any changes in the information it has provided and will supply any other relevant information which may come to light in the period during which the application is being considered and, if the application is accepted, thereafter.

Date:

Signed: Position:

Signed: Position:

Signed: Position:

For and on behalf of: _____

(Please print name of the Applicant. At least two directors, including the managing director, must sign the declaration. Original signatures are required.)

NOTE: Provisions in this document should not be deleted nor amended in any manner. It is an offence under the European Union (Indices used as Benchmarks in Financial Instruments and for Financial contracts or to Measure the Performance of Investment Funds) Regulations 2017, S.I. 644/2017 to knowingly or recklessly provide false or misleading information or make false or misleading statements in relation to an application for authorisation.

The Central Bank may process personal data provided by you in order to fulfil its statutory functions or to facilitate its business operations. Any personal data will be processed in accordance with the requirements of data protection legislation. Any queries concerning the processing of personal data by the Central Bank may be directed to dataprotection@centralbank.ie. A copy of the Central Bank's Data Protection Notice is available at www.centralbank.ie/fns/privacy-statement.



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